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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/726,087	11/29/2000	David L. Rhodes	486.1001

CONFIRMATION NO. 9659

## FORMALITIES LETTER



\*OC000000005677450\*

DAVIDSON, DAVIDSON & KAPPEL  
15th Floor  
1140 Avenue of the Americas  
New York, NY 10036



Date Mailed: 01/15/2001

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

*Sm*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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Bib Data Sheet

CONFIRMATION NO. 9659

<b>SERIAL NUMBER</b> 09/726,087	<b>FILING DATE</b> 11/29/2000 <b>RULE</b>	<b>CLASS</b> 707	<b>GROUP ART UNIT</b> 2171	<b>ATTORNEY DOCKET NO.</b> 486.1001
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**APPLICANTS**  
David L. Rhodes, Brick, NJ;

**\*\* CONTINUING DATA \*\*\*\*\*** *LJ*  
THIS APPLN CLAIMS BENEFIT OF 60/187,487 03/07/2000

**\*\* FOREIGN APPLICATIONS \*\*\*\*\*** *N/A*

**IF REQUIRED, FOREIGN FILING LICENSE** **\*\* SMALL ENTITY \*\***  
**GRANTED \*\* 01/10/2001**

Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after met <i>Allowance</i> Verified and <i>Rashmita Jacob LJ</i> Acknowledged <i>Examiner's Signature</i> Initials	<b>STATE OR COUNTRY</b> NJ	<b>SHEETS DRAWING</b> 2	<b>TOTAL CLAIMS</b> 75	<b>INDEPENDENT CLAIMS</b> 2
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**ADDRESS**  
 DAVIDSON, DAVIDSON & KAPPEL, LLC  
 485 SEVENTH AVENUE  
 14TH FLOOR  
 New York ,NY 10018

**TITLE**  
 Collaborative host masquerading system

<b>FILING FEE RECEIVED</b> 850	<b>FEES: Authority has been given in Paper</b> No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees ( Filing )
		<input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )
		<input type="checkbox"/> 1.18 Fees ( Issue )
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit



Receipt  
486.1001

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Re: Application of: David L. RHODES

Serial No. 09/726,087

Filed: November 29, 2000

For: **COLLABORATIVE HOST MASQUERADING** Technology Center 2100

**RECEIVED**

MAY 24 2001

**REQUEST FOR CORRECTED FILING RECEIPT**

February 6, 2001

BOX: Application Processing Division's Customer Correction Branch  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicant respectfully requests the following correction be made to the original Filing Receipt mailed January 15, 2001, a marked up copy of which is enclosed:

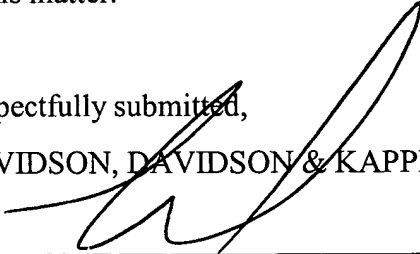
**Correspondence Address:** The correspondence address for the applicant's attorney has changed to 485 Seventh Avenue, 14<sup>th</sup> Floor, New York, NY 10018.

Applicant respectfully requests that a corrected filing receipt be issued.

Thank you for your prompt attention to this matter.

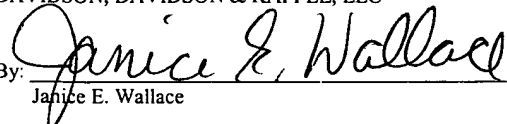
Respectfully submitted,

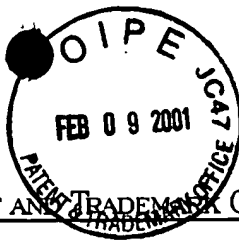
DAVIDSON, DAVIDSON & KAPPEL, LLC

By:   
Cary S. Kappel (Reg. No. 36,561)

DAVIDSON, DAVIDSON & KAPPEL, LLC  
485 Seventh Avenue, 14<sup>th</sup> Floor  
New York, New York 10018  
(212) 736-1940

I hereby certify that this correspondence and/or documents referred to as attached therein and/or fee are being deposited with the United States Postal Service as "first class mail" in an envelope addressed to Office of Initial Patent Examination's Customer Service Center, Washington, DC 20231 on February 6, 2001.  
DAVIDSON, DAVIDSON & KAPPEL, LLC

By:   
Janice E. Wallace



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## UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/726,087	11/29/2000	2171	850	486.1001	2	75	2

CONFIRMATION NO. 9659

## FILING RECEIPT

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\*OC000000005677449\*

MAY 24 2001

Technology Center 2100

Date Mailed: 01/15/2001

DAVIDSON, DAVIDSON & KAPPEL, LLC  
15th Floor  
1140 Avenue of the Americas  
New York, NY 10036

485 Seventh Ave., 14th Floor  
New York, NY 10018

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

David L. Rhodes, Brick, NJ;

## Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/187,487 03/07/2000

## Foreign Applications

If Required, Foreign Filing License Granted 01/10/2001

Projected Publication Date: To Be Determined - pending completion of Corrected Papers

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

Collaborative host masquerading system

Preliminary Class

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JAN 30 2001

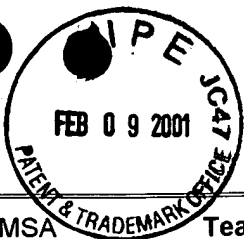
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Excel on 1/31  
PCH on 1/31

11/29/01 Convention y Endb  
5/29/01 Reminder  
2/28/01 Ids due  
2/14/01 Send Reporting  
letter

CSK/SSM

707



Data entry by : MURSHID, SHAMSA

Team : OIPE

Date: 01/15/2001





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Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

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**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:



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